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DOCKET NO.: MSFT-2733 / 305587.01

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Winnie C. Wu, Michael E. Deem, Edward

G. Sheppard, Lijiang Fang, Jian Li and

Michael B. Taylor

Confirmation No.: 9794

Application No.: 10/646,575

Group Art Unit: 2165

Filing Date: August 21, 2003

Examiner: Neveen Abel Jalil

For: **SYSTEMS AND METHODS FOR INTERFACING APPLICATION
PROGRAMS WITH AN ITEM-BASED STORAGE PLATFORM**

27

DATE OF DEPOSIT: July 6, 2007

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REGISTRATION NO.: 60,013

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Commissioner for Patents
P.O. Box 1450
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Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.
- ☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:
- ☐ Certification in Accordance with § 1.97(e) is attached; or
- ☐ The fee of **\$180.00** as set forth in § 1.17(p) is attached.
- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of **\$180.00** as set forth in § 1.17(p).
- ☒ Copies of reference numbers 32 - 43 listed on the attached Form PTO-1449 are enclosed herewith.
- ☒ Copies of reference numbers 1 - 31 on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(ii).
- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and

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PATENT

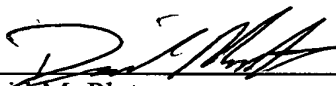
Trademark Office in patent application number _____, filed _____ for
which a claim for priority under 35 U.S.C. § 120 has been made in the
instant application.

☐ The relevance of those listed references which are not in the English language is as follows:

There are no listed references which are not in the English language.

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Date: July 6, 2007



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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. MSFT-2733 / 305587.01	Application No. 10/646,575
	Applicant Winnie C. Wu, Michael E. Deem, Edward G. Sheppard, Lijiang Fang, Jian Li and Michael B. Taylor	
	Filing Date August 21, 2003	Group 2165
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U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	1	5,842,213	11/24/98	Odom et al.	707	100
	2	5,893,106	04/06/99	Brobst et al.	707	102
	3	5,937,402	08/10/99	Pandit	707	4
	4	6,006,234	12/21/99	Govindarajan et al.	707	103R
	5	6,317,754	11/13/01	Peng	707	203
	6	6,324,533	11/27/01	Agrawal et al.	707	3
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	11	6,671,757	12/30/03	Multer et al.	710	100
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	20	6,976,027	12/13/05	Cutlip	707	101

EXAMINER	DATE CONSIDERED
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NON-PATENT DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	32	Barker, J., "Beginning Java Objects," <i>Wrox Press Ltd.</i> , 2000 , pages 1 and 94	
	33	Berenson, H. "A Critique of ANSI SQL Isolation Levels", SIGMOD RECORD, 1995, 24(2), 10 pages	
	34	Bernstein et al., "The Microsoft Repository," <i>Proceedings of the 23rd VLDB Conference, 1997</i> , http://citeseer.ist.psu.edu/bernstein97microsoft.html	
	35	Fialli et al., "Java TM Architecture for XML Binding (JAXB) Specification," September 12, 2002 , <i>Version 0.7</i> , 1-178	
	36	Gordon, A.D. et al., "Typing a Multi-Language Intermediate Code," <i>POPL '01, London, UK</i> , January 2001 , 257	
	37	Greenwald, R. et al., "Oracle Essentials: Oracle 8 & Oracle 8i", MultiUser Concurrency, 1999, Ch. 7, 7 pages, XP-002312028	
	38	Ip et al., <i>IEEE</i> , 1991 , 8-15	
	39	Plattner, C. et al., "Ganymed: Scalable Replication for Transactional Web Applications", IFIP International federation for Information Processing, 2004, 155-174, XP-002370197	
	40	Shapiro, M. et al., "Managing Databases with Binary Large Objects", <i>IEEE</i> , 1999, 185-193, XP-000922048	
	41	Singer, J., "JVM versus CLR: A Comparative Study," <i>PPPJ 2003, Kilkenny City, Ireland</i> , June 16-18, 2003 , 167	
	42	Soundarajan et al., <i>Fifth International Conference on Software reuse ICSR</i> , 1998 , 206-215	
	43	"Using Value Objects," by the XDoclet Team, last published May 5, 2005 , http://xdoclet.sourceforge.net/xdoclet/valueobjects.html	

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DATE CONSIDERED

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	21	6,999,956	02/14/06	Mullins	707	2
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	31	2005/0065977	03/24/05	Benson et al.	707	104.1

EXAMINER	DATE CONSIDERED
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